

#20 8/15/01  
TBray

Attorney's Docket No.: 7916

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Needleman, et al.

Serial No.: 08/934,367

Group No.: 1642

Filed: September 19, 1997

Examiner: M. Davis

For: AN IMMUNOLOGICAL PROCESS AND CONSTRUCTS FOR  
INCREASING HDL CHOLESTEROL CONCENTRATION BY DNA  
VACCINATION

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR §§1.97 and 1.98**

Sir:

The published PCT application listed on the attached form PTO-1449, a copy of which is enclosed, is submitted herewith pursuant to Rules 97 and 98. No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

It is believed that a declaration under Rule 131 previously filed in this application overcomes this reference, but it is submitted for the Examiner's consideration.

Respectfully submitted,

Philip B. Polster II, Reg. No. 43,864  
Polster, Lieder, Woodruff & Lucchesi, L.C.  
763 South New Ballas Road, Suite 230  
St. Louis, Missouri 63141  
(314) 872-8118



RECEIVED

AUG 13 2001

TECH CENTER 1600/2900

Sheet 1 of 1

|  |                                   |  |
|--|-----------------------------------|--|
| <b>FORM PTO-1449</b><br>U.S. DEPARTMENT OF<br>COMMERCE, PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use several sheets if necessary) | <b>ATTY. DOCKET<br/>NO.: 7916</b> | <b>APPLICATION NO.:<br/>08/934,367</b> |
|  | <b>INVENTOR: Needleman et al.</b> |  |
|  | <b>Filed: 9/19/97</b>             | <b>Group: 1642</b>                     |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial |    | Document Number | Date | Name | Class | Subclass | Filing Date If<br>Appropriate |
|---------------------|----|-----------------|------|------|-------|----------|-------------------------------|
|                     | A1 |                 |      |      |       |          |                               |
|                     | A2 |                 |      |      |       |          |                               |
|                     | A3 |                 |      |      |       |          |                               |
|                     | A4 |                 |      |      |       |          |                               |
|                     | A5 |                 |      |      |       |          |                               |
|                     | A6 |                 |      |      |       |          |                               |
|                     | A7 |                 |      |      |       |          |                               |
|                     | A8 |                 |      |      |       |          |                               |
|                     | A9 |                 |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial | Document Number |    |    |   |   |   |   | Date | Country  | Class         | Subclass | Translation |    |
|---------------------|-----------------|----|----|---|---|---|---|------|----------|---------------|----------|-------------|----|
|                     |                 |    |    |   |   |   |   |      |          |               |          | Yes         | No |
|                     | B1              | WO | 96 | 3 | 9 | 1 | 6 | 8    | 12/12/96 | International |          | X           |    |
|                     | B2              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B3              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B4              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B5              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B6              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B7              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B8              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B9              |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B10             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B11             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B12             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B13             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B14             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B15             |    |    |   |   |   |   |      |          |               |          | X           |    |
|                     | B16             |    |    |   |   |   |   |      |          |               |          |             |    |

|   |                        |
|---|------------------------|
| <b>EXAMINER</b>   | <b>DATE CONSIDERED</b> |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                        |